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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington DC
Washington, D.C. 20549
412

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company
- Class of New Derivative Securities Product:
Exchange Traded Fund
- Name of Underlying Instrument:
Solactive USD High Yield Corporates Total Market Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:
HYLB
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Listed on: Australian Stock Exchange (ASX), Bermuda, Borsa Italiana, Brussels, Cayman Islands, Channel Islands, Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Paris, Irish Stock Exchange, London Stock Exchange, Luxembourg, NASDAQ Stock Market LLC, NYSE, OMX Nordic Exchange, Helsinki, OMX Nordic Exchange, Stockholm, Oslo Stock Exchange, Singapore Stock Exchange, Tokyo Stock Exchange, Toronto
- Position Limits of New Derivative Securities Product (if applicable):
Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
N/A



Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above new derivative securities product according to its relevant trading rules, procedures, surveillance programs and standards.

Name of Official Responsible for Form:
Colleen Steele

Title:
Assistant Corporate Secretary

Telephone Number:
301-978-8736

Manual Signature of Official Responsible for Form:

Date: **December 12, 2016**

Act	Securities Exchange Act of 1934
Section	19b-4
Form	19b-4(e)
Public Availability:	DEC 14 2016